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FTIR SPECTRA OF MILLED WOOD LIGNINS AND LIGNIN POLY-MER MODELS (DHP's) WITH ENHANCED RESOLUTION OBTAINED

BY DECONVOLUTION

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ABSTRACT

FTIR spectra of spruce, beech, and bamboo MWL's, representing G, GS and HGS lignins, were recorded and examined in the wavenumber range of 1800 to 700 cm-1. The spectra were then submitted to a **self-deconvolution technique in order to resolve the intrinsically overlapped bands. The same procedure was carried out with the IR spectra from two G and two GS dehydrogenation polymers (DHP's) prepared by the "zutropf" (ZT) and "zulauf" (ZL) polymerization method. Thus, approx. 30 bands typical for lignins and lignin-like polymer models were detected in contrast to standard spectroscopic conditions, which render visible 15 to 17 broad bands. Hidden bands, usually visible as shoulders, came to light, i.e. the apparent resolution was increased considerably by deconvolution. The deconvolved spectra are standardized and depicted in the absorption mode together with the original spectra. The wavenumbers and intensities of 54 bands apparent after deconvolution are compiled in a Table to render possible a direct comparison of the IR characteristics of lignina and DHP's. A difference spectrum obtained by subtraction of a G ZT DHP spectrum from a spruce MWL spectrum illustratea the differences between these polymers.**

INTRODUCTION

IR spectroscopy is a useful method for a rapid and convenient characterization of small wood samples and it8 major components. Using micro-methods the sample weight can be reduced below 0.1 mg. The importance of IR spectroscopy in the field of lignin chemistry was already recognized in the fifties. Early efforts and the pioneering publications of Kawamura and Higuchi on lignin classification by IR-spectroscopy1, and of Sarkanen et al. on quantification of IR-spectroscopic data^{2,3,4} in the sixties were **reviewed by HergertS, who wrote without knowing of the renaissance of IR spectroscopy6 a few years later:** I.. , **infrared spectroscopy is a relatively old technique, but it is also a dynamic one that offers much promise for the future." Indeed, the advent of FTIR spectroscopy in the seventies and the possibility of post**spectroscopic standardization and "manipulation" of IR widened **the perspectives for classical IR spectroscopy: The quantitative evaluation of IR data is more reliable with equipment of the new FTIR generation than with dispersive IR spectrometers, and now it is routine to use IR libraries with automatic search programs. Therefore, it is amazing that quantitative evaluation of FTIR** spectroscopic data of wood⁷, lignins^{7,8,10}, lignin polymer models⁹, and pulp and papers¹²⁻¹⁴ was not reported until 1985. A **more comprehensive study was finished recently on quantitative FTIR spectroscopy on lignins and lignin polymer models using the multiple linear regression approachll** .

When interpreting IR spectra of lignins, one should recall an important comment of Hergert5: ". . . , **the most common error is to conclude that two or more lignin products are "dentical" because their infrared spectra are "similar". Rather, the opposite must be true, i.e., if the spectra are not precisely identical, it must be concluded that the products are not identical." As a matter of fact, small details are very important in the interpretation of IR-spectra of lignina.**

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To visualize small details in band shapes and "hidden" unresolved band components two methods can be applied: differentiation and deconvolution¹⁵⁻¹⁷. Both permit the user to reduce computationally broad spectral bandwidths and are frequently referred to as resolution enhancement techniques. Mathematical backgrounds and practical consequences of differentiation and deconvolution were explained in the literature quoted above. It was pointed out by these authors that the sensitivity to noise is identical in both methods. The original band position is equally retained, whereas the integrated band intensity is retained only in the case of deconvolution. Peak heights are changed in both techniques. Bandwidths are also lost after deconvolution but the relative bandwidths are retained using mathematic derivation. However, the interpretation of the first derivation of lignin IR spectra is difficult because they do not resemble the original. Higher derivation spectra are distorted by large lobes.

On the other hand, deconvolved spectra are very clear and similar to the original. Deconvolution allows the spectroscopist to determine the number of individual components contributing to a superimposed band. This technique works in the interferogram as well as in the spectral domain and should not be confused with spectral simulation, which works only in the spectral domain. (Spectral simulation tries to detect the individual lines as parts of a superimposed band by optimization.) Only small lobes and undesirable artifacts can be observed when appropriate spectra with low noise and, if necessary, smoothing techniques are used. (In the case of low signal to noise ratio deconvolution will also increase the noise, a fact which makes the interpretation of spectra difficult.) Therefore, this paper deals exemplarily with deconvolved FTIR spectra. Derivative IR spectroscopy will be the subject of another publication. Three **FIWL's,** which represents G, GS, and HGS lignins, are the focus of this paper. (The abbreviations H, *G,* and **S** refer to **4-hydroxy-phenylpropane,**

guaiacylpropane, and syringylpropane units of lignins.) Lignin polymer models (dehydrogenation polymers = **DHP's) l8 were also included in this study because such preparations play an important role in understanding of lignin structures and of the polymerization of lignin precursors. Spectra of two G and CS DHP's,** prepared each by "zutropf" (ZT) and "zulauf" (ZL) polymerization **methods, will be discussed. (ZT is synonym for continuous and ZL for discontinuous polymerization methods.)**

EXPERIMENTAL

MWL's from Picea abies L. (spruce), Fagus sylvatica L. (beech) and Bambus sp. (bamboo) were isolated by standard procedures according to Björkman's method¹⁹. Molecular weight determi**nation were done by HPLC20 using polystyrene standards for calib**ration. ZT and ZL DHP's were prepared⁹ in phosphate buffer at pH 6.8 using the system "horseradish peroxidase/H₂O₂" for dehyd**rogenation; polymerization** ' **time 6 d. Experimental details for these procedures and those for preparative fractionation of DHP's on a Sephadex LH 60 column by methylcellosolv as solvent are described in the literature21. The samples described here were taken from batches of preparative fractionation with identical retention volumes. Consequently, the DHP's have similar molecular weights. The analytical and IR spectroscopic data from the G DHP's were already described9, but the formula are repeated in Table 1 together with those of GS DHP's and the MWL's.**

The standard KBr technique (0.7rng lignin/300mg KBr) was applied for spectroscopy. A BioRad Digilab FTS4O FTIR spectrometer was used for collecting the interferograma and BioRad software was used for deconvolution. Detector: DTGSIKBr; Resolution: 4 cm-l; Number of scans: 64; Apodization: triangular; Bandwidth for deconvolution: 15 cm-l. Spectra and deconvolved spectra were standardized in such a nay that the absorption of the highest band was equal to 1.0.

Analytical Data of the Samples Investigated

• DBE: Double Bound Equivalent ((Number of C*2 + 2)-Number of H)+2
• Degree of Dehydrogenation = 1000 - (H/C₉₀₀ + OMe/C₉₀₀)
Calculations, see Lit. ¹¹

RESULTS AND DISCUSSION

Effect of deconvolution

In Fig. 1 the IR spectra of the MWL's are shown before and after deconvolution. Fig. 2 displays the spectra of G and GS DHP's in the same way, comprising the ZT and ZL variants of these polymers. The deconvolved spectra (hatched area) display more fine structure and demonstrate the effects of this technique. Narrow bands sharpen up, and shoulders or points of inflection become peaks. The broader the line, the less the effect of deconvolution. Many superimposed bands were separated, although some of them to a minor extent. One gets the impression of an essentially higher spectral resolution. The operator is able to influence the grade of deconvolution by the parameter "bandwidth": the higher the bandwidth setting the better the artificial enhancement of resolution. On the other hand, there are limits for increasing the beneficial effects of this method. Noisy spectra leads to artifacts when the parameter "band-width"

FIGURE 1. FTIR spectra from 3 MWL's before and after
tion. (Hatched area: deconvolved spectra.) deconvolu-

is to high. Besides, deconvolution is achieved in any case by sacrificing the signal to noise ratio. Spectral artifacts, positive and negative sidelobes can also be enhanced. In Figs. 1 and **2** some noise can be seen in the range of carbonyl bands between 1750 and 1660 cm^{-1} , probably due to residual vapor present in the equipment. The vapor lines, increased by deconvolution, appear as noise after deconvolution. However, this effect is very small, Thus, the vapor was succesfully eliminated and the bandwith setting **(15** cm-1) was approximatelly right. (The maximal possible value is 21.) No smoothing, neither before nor after deconvolution, was necessary.

As the Figures demonstrate, there are approx. **54** bands in the **1800** - **700** cm-l region for the seven polymers (most of them are common) after deconvolution, instead of 15 to **17** bands visible under standard spectroscopic conditions. Bamboo MWL and the GS DHP's display the most complex spectra with ca. **27** deconvolved bands. (For comparison: monomeric and dimeric lignin model compounds show in general 20 to 30 spectral bands with **4** cm-l resolution.) Table *2* is **a** compilation of quantitative IR spectroscopic data derived from deconvolved spectra. To each "true" band (marked with a *) the exact wavenumber and the band intensity from the standardized spectrum is given. In special cases of broad bands the intensities from the shoulders are also reported to render possible comparisons between samples, even if they do not have common maxima in the same wavelength range. On the right side of Table **2** the average wavenumbers are listed. These values are reported in the discussion below of the positions of the bands.

Comparison of the MWL spectra before deconvolution

It is beyond the scope of this paper to give a detailed review on spectral characteristics of G, GS, and HGS lignins. Only the most remarkable differences between the original spectra in Fig. 1 are briefly noted, in order to make understandable the

deconvolved spectra. Band assignments were done according to the literature^{5,22,24}.

1) Spruce. The band at 1511 cm-l (aromatic skeletal vibration) and at 1269 cm-l (guaiacyl ring breathing with carbonyl stretching) dominates. The intensities of the bands at 1596 cm-l (aromatic skeletal vibr. breathing with C=O **stretching, also influenced by 'condensed" aromatic rings) and at 1463 cm-l** (C-H **deform. asym.) are much lower than that of the 1510 cm-l band. The pronounced 1140 cm-l band** (C-H **in plane deform. of guaiacyl ring), 1031 cm-l band** (C-H **in-plane deform. guaiacyl ring and** *C-0* **deform. primary alcohols, influence of non-conjugated** C=O **groups is also probable) and the two smaller maxima at 856 and 816 cm-1 (aromatic** C-H **out-of-plane deform.) are prominent.**

2) Beech. The band at 1128 cm-1 (C-H **in-plane deform. of syringyl rings) dominates. Moreover, the presence of syringyl units is also evident from the bands at 1593 cm-l and 1463 cm-l. Their intensities are in the same order of magnitude as that of the 1504 cm-l band. In contrast to the spectrum of spruce** MWL **the band at 1328 cm-l (syringyl ring breathing with** C=O **stretching, also influenced by "condensed' aromatic rings) is large and the band at 1270 cm-l is small. The same is true for the 1032 cm-l band. It is remarkable that in the range of aromatic** C-H **out-ofplane deform. vibrations (at 835 cm-l) only one band appears.**

3) Bamboo. The 1127 cm-l band dominates, as in the case of the beech MWL **spectrum, but the bandwidth is much smaller. The pronounced maximum at 1170 cm-l is an infallible proof for** HGS **lignins. This band is not visible in all other lignin spectra without deconvolution. Because alkaline hydrolysis and borohydride reduction decreases the intensity of this band, it is probable that a close relation exists between this band and the numerous carbonyl (ester) bands of bamboo lignin. Also, the broad carbonyl stretching band around 1710 cm'l (unconjugated ketone**

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and carbonyl groups) is very characteristic for bamboo (and for other Gramineae). The bands at 1604 cm-l and 1269 cm-l are intense, too. This can partly be explained by the contribution of the carbonyl bands to aromatic skeletal vibrations and to the guaiacyl C-H in-plane deformations, respectively. In many respects, bamboo MWL show characteristics both of G and GS lignins. For example the presence of syringyl units is unequivocally demonstrated by the pronounced 1329 cm-l band. In the spectra of Gramineae the band is typical at 834 cm-l with higher intensity than in the case of GS lignins. DHP's prepared from p-coumaryl alcohol also show this spectral feature9, so that high intensities at 834 cm-l are indicative for H units.

Comparison of the deconvolved spectra

It was already demonstrated that the borders are fluid between typical G, GS and HGS lignins8,11 and that linear regression equations describe well the correlation between IR spectroscopic and lignin analytical data9*I1. The question arises, however, what may be the contribution of deconvolved IR spectra to lignin chemistry? What is the advantage to observe bands with apparently better resolution?

As mentioned above, the advantage of deconvolution is less obvious in the case of the broad carbonyl band region, but interesting details are revealed in four other regions of the IR spectra: On the bands around 1600 cm-l, at 1510 cm-l, at 1463 cm-l, and between 1170 and 1104 cm-l.

The 1600 cm-l band is composed of 3 lines at 1614, 1604 and 1593 cm-l (band no. 8, 9, and 10). Their relative intensities differ in the samples investigated. The first one is best visible and most intense in the case of G ZT, GS ZT and GS ZL DHP's, but it is also present in the spectrum of bamboo MWL. Band no. 9 at 1604 cm-l is usually well discernible in samples rich in G

units. In polymers with more S units, band no. 10 is much higher than band no. 9. The contribution of the carbonyl stretching vibrations to the aromatic skeletal vibrations occurs probably **around 1605 cm-l. At least in the case of bamboo MWL the coincidence between the high intensities of the carbonyl bands and band no. 9 is striking. For comparisons it is of interest that model compounds containing carboxylic acid functional groups also display splitting in the 1600 cm-l region. Ferulic acid** (1620+1600 cm⁻¹), p-coumaric acid (1628+1602+1593 cm⁻¹) - both **important components of bamboo lignin** - **show the splitting patterns as given in parenthesis, wherein the underlined band dominates. This observation is not a convincing corroboration for the assumption that the intense 1605 cm-l line of bamboo is influenced by the carbonyl bands of the acids mentioned above (or their esters) but Is not in contradiction to it. Band no. 10 dominates in G ZT polymer, whereas in the corresponding ZL polymer band no. 9 has the preponderance. ZT polymers are supposed to have more alkyl-aryl ether linkages than ZL polymers22, therefore, one could conclude that the ratio of bands no. 9 and 10 is also related to the ratio of 8-0-4 alkyl-aryl ether linkages to the linkages derived from 6-5,** *8-6* **or 4-0-5 coupling modes of the basic units. Accordingly, the line at 1593 cm-l is more intense than the line at 1604 cn-l when more alkyl-aryl ether linkages are present in the molecule, or when more S units are present, or** - **generally speaking** - **when the ZT character of a polymer is more pronounced.**

The band of the basic aromatic skeletal vibration around 1510 cm-l is also a multiple band. Maxima appear for all samples at least at four wavelengths after deconvolution: 1516, 1511, 1505, and 1498 cm-l (band numbers 11 - **14). Each polymer, with the exception of spruce MWL, displays a resolution pattern with two distinct maxima. Four samples (spruce MWL, G ZT and ZL DHP's** and GS ZL DHP) show an additional shoulder at 1493 cm⁻¹, so that

altogether five lines contribute to the overall absorbance in this region. It is worthwhile to mention that the aromatic skeletal vibrations of 0-5 dimers also show a 1518 + **1497 cm-l splitting pattern (without deconvolution). Some 0-0-4 dimers with benzylic** OH **groups display 1515** + **1500 or 1525** + **1506 cm-l resolution patterns. Bands no. 11 and 13 at 1516 and 1505 cm-l are visible only in co-polymers with participation of S units (including bamboo), whereas band no. 12 at 1511 cm-l is typical for pure G polymers, such as spruce MWL and G ZT and ZL** DHP's. **This observation explains the findings that in spectra without deconvolution lig-G lignins show maxima at 1510 cm-l and typical GS nins at 1505 cm-l. It was observed25 that the wavenumbers of the** maxima move continuously from 1510 to 1504 cm^{-l} when the S con**tent in GS lignins increases. Explanation: With increasing S** content the band component at 1505 cm⁻¹ dominates more and more **and superimposes the component band at 1516 cm-l** . **An apparent shift results from higher to lower wavenumbers due to the limited resolution under standard spectroscopic conditions.**

The high intensity of band no. 11 of bamboo MWL is remarkable. The reason for this is not obvious. It cannot be explained neither exclusively by the presence of S units nor by H **units in this lignin. (Beech MWL, and GS co-polymerisates possess more S units and the intensity of this band is still lower. H** DHP's **display 1510+1491 cm-l (intensities, 1: 1) splitting pattern in** this region^{9,11}, which differs of that of bamboo MWL.) Band no. **11 is also intense in GS ZT and especially in GS ZL** DHP **and in organosolv lignins. However, this band cannot be observed in acetylated samples. This band reflects, accordingly, the structural peculiarites of S units but the influence of phenolic OH groups and of ester carbonyl groups is also probable. Moreover, it is of interest that in contrast to spruce MWL, in G ZT and ZL** DHP's **the component band no. 14 at 1498 cm-l is present. In the spectrum of G MWL's this short wavenumber component does**

not appear as a clear maximum. This subtle difference is a further proof for structural differences between DHP's and lignins. As the intensity of band no. 14 is higher in the spectrum of G ZL **DHP than in the spectrum of the corresponding ZT DHP, this part of the aromatic skeletal vibration band is probably indicative for "condensed" aromatic rings, such as in 6-5, 5-5 or 4-0-5 units.**

The 1463 cm⁻¹ band (C-H deform. asym.) is split very uni**formly by deconvolution into two bands at 1465 cm-l and 1453 cm-l in all spectra. The composed character of this band is frequently visible in IR spectra of softwood lignins even under standard spectroscopic conditions as already pointed out in the sixties24. Consequently, the effect of enhanced resolution is best visible in the case of spruce** MWL **followed by the two G DHP's. In GS polymers** - **Including the bamboo** MWL - **the 1453 cm-l component is less intense and not so well separated from the 1465 cm-l line. It seems that S units are more represented by the 1465 cm-l moiety of this band.**

Between the wavenumbers 1170 and 1104 cm-l details become visible, which are not so clear without enhanced resolution. G, GS, or HGS lignins can easily be recognized by the relative intensities of the bands at 1170, 1157. 1143, 1128, and 1104 cm-1 (band no. 33 - **37). As is well known, the band no. 35 at 1143 cm-l is typical for polymers with G units if its relative abundance is 90 mol** *X,* **or more. In the presence of a few mol** *X* **S units the band no. 36 at 1128 cm-1 dominates over the band no. 35 at 1143 cm-l in such a way, that this band cannot be detected even as a shoulder after deconvolution. Therefore, G and GS lignins can be distinguished unequivocally by dominance of band no. 35** *(G* **lignins only) or band no. 36 (GS lignins, even if a few mol X S units are present). Bamboo** MWL **(Gramineae, HGS lignin) also show the dominant band no. 36, but the width is smaller than** in the spectrum of beech MWL. Moreover, band no. 33 at 1170 cm⁻¹

FIGURE 3. **1)** Standardized FTIR spectra without deconvolution from spru;e MWL (A).and G **ZT** DHP **(B). 2)** Difference spectrum A minus B from spectra after deconvolution

is very typical for Gramineae, as already indicated above. p-Coumaric acid and ferulic acid display maxima in this region at 1172 and 1177 cm^{-1} , respectively, although the intensities are not very pronounced. This is a further indication that the 1170 cm^{-1} band is associated with carboxyl, or ester groups. The line at 1157 cm-l (band no. **34)** is very pronounced in all spectra of lignins but has not yet been interpreted in terms of structural significance.

Structural differences between MWL's and DHP's²⁷⁻³¹ and between ZT and ZL DHP's^{22,23,27}, respectively, were frequently discussed taking into account theoretical considerations and **spectroscopiclanalytical** data. There is a consensus about the

findings that ZT DHP's are more similar to lignins than ZL DHP's; but in any case there are differences between lignins and their polymer models. In order to demonstrate the analytical possibilities (and limits) of the FTIR spectroscopy **a** difference spectrum is depicted in Fig. 3. This spectrum was created by subtraction of the deconvolved spectrum of G ZT DHP from that of spruce MWL. Positive and negative bands indicate the distinctions between these samples, which can be interpreted to a great extent with the help of the band assignments of the literature⁵ and the results described above. The difference spectrum "beech MWL minus GS ZT DHP" and difference spectra of ZT and ZL DHP's also display interesting details, which will be described elsewhere. The results in this paper are in agreement with data in the literature with reference to similarities and differences between G DHP's and G lignins²⁶⁻³⁰ and between ZT and ZL dehydrogenation polymers^{21,22,26}, respectively. There are only gradual deviations from the results of Lai and Sarkanen²² on IR spectra from ZT and ZL DHP's. These can be attributed to the different techniques used for DHP preparation, purification, and fractionation.

CONCLUSIONS

There are numerous advantages of increasing the apparent resolution by using deconvolution techniques. Small differences in band shapes become visible and hidden bands in the spectra come to light. The comparison of spectra of different origin yield information with more details, and small differences can more easily be detected. Nevertheless, many details uncovered can be interpreted in terms of lignin structure when more analytical and more quantitative $13C$ NMR spectroscopic data become available for the polymers in question. Hence, this paper is mainly a description and comparative presentation of the results of deconvolution. Once "calibrated" with other methods, IR spectra will give much information conveniently. Therefore, the intent of this paper is to give impetus for more research work on understanding the relations between lignin structures and IR spectroscopic data.

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